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Sent: Monday, November 18, 2019 4:58 PM
To: AGO - Info <AGO.Info@vermont.gov>
Cc: Nova2, Annie (NBCUniversal) <Annie.Nova2@nbcuni.com>
Subject: CNBC INQUIRY

Hello,

I'm a reporter for CNBC and my colleagues and I are working on a story about investors' experiences with Fisher Investments. Some people describe losing a lot of money with the firm or being put into risky portfolios, etc.

I'm wondering if your agency has received any complaints regarding the firm. You can email the reporter Annie Nova, also cc'ed here, Annie.Nova@NbcUni.com, or call her at 1-201-651-6640. We're happy to talk on background too.

Thank you,
Diamaris Martino